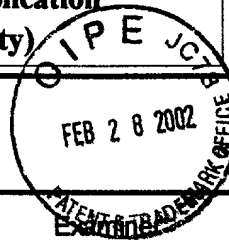


**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**POU920010013US1**

In Re Application Of: **BARTFAI et al.**



Serial No.  
**10/028,525**

Filing Date  
**10/25/2001**

Group Art Unit  
**2184**

Invention:

**CRITICAL ADAPTER LOCAL ERROR HANDLING**

**COPY OF PAPERS  
ORIGINALLY FILED**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**01/23/2002**  
Date

Enclosed herewith for filing are the following:

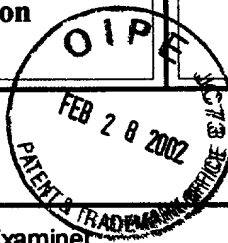
- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☒ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**3 Sheets of Formal Drawings**

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)

Docket No.  
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In Re Application Of: BARTFAI et al.



Serial No.  
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Examiner

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

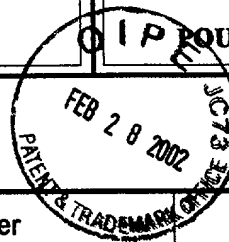
Total time extension fees

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
POU920010013US1

In Re Application Of: **BARTFAI et al.**



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Invention:

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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The fee of **\$130.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **09-0463**.  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **09-0463**.  
A duplicate copy of this sheet is enclosed.

*Lawrence D. Cutter*  
Signature

Dated: *Feb. 19, 2002*

**LAWRENCE D. CUTTER, Sr. Attorney**  
Reg. No. 28,501  
**IBM Corporation**  
2455 South Rd., M/S P386  
Poughkeepsie, NY 12601  
(845) 433-1172

I certify that this document and fee is being deposited on *2-20-2002* with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Susan L. Phelps*  
Signature of Person Mailing Correspondence

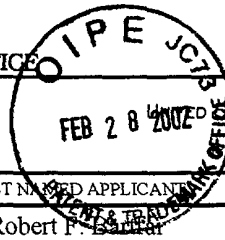
**Susan L. Phelps**

Typed or Printed Name of Person Mailing Correspondence

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/028,525	10/25/2001	Robert F. [REDACTED]	POU920010013US1

CONFIRMATION NO. 4463

FEB 01 2002

FORMALITIES LETTER



\*OC00000007347845\*

LAWRENCE D. CUTTER, Attorney  
IBM Corporation  
Intellectual Property Law Dept.  
2455 South Rd., M/S P386  
Poughkeepsie, NY 12601

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Date Mailed: 01/23/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given [REDACTED] in the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
[REDACTED]
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- [REDACTED] substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

03/06/2002 SMINASS1 00000053 090463 10028525

01 FC:105 130.00 CH

20020201 5258201

  
H. FENEA

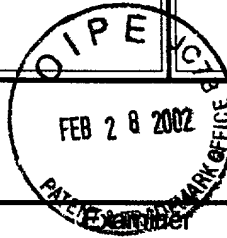
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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20250525.02302

**TRANSMITTAL OF FORMAL DRAWINGS**Docket No.  
POU920010013US1In Re Application Of: **BARTFAI et al.**

Serial No.

10/028,525

Filing Date

10/25/01

Batch No.

Art Unit

2184

Invention:

**CRITICAL ADAPTER LOCAL ERROR HANDLING**

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231COPY OF PAPERS  
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Transmitted herewith are:

3 sheets of formal drawing(s) for this application.

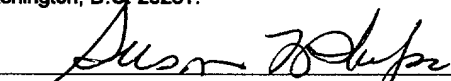
Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

  
Signature

Dated: Feb. 19, 2002

LAWRENCE D. CUTTER, Sr. Attorney  
Reg. No. 28,501  
IBM Corporation  
2455 South Rd., M/S P386  
Poughkeepsie, NY 12601  
(845) 433-1172

I certify that this document and attached formal drawings are being deposited on 2-20-02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

Susan L. Phelps

Typed or Printed Name of Person Mailing Correspondence